



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Mark J. Stefik et al.

Serial No. 09/777,845

Filed: 02/07/2001

For: A SYSTEM FOR CONTROLLING THE  
DISTRIBUTION AND USE OF DIGITAL WORKS  
USING DIGITAL TICKETS

) Examiner: Unassigned

) Group Art Unit: 2164

)

)

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

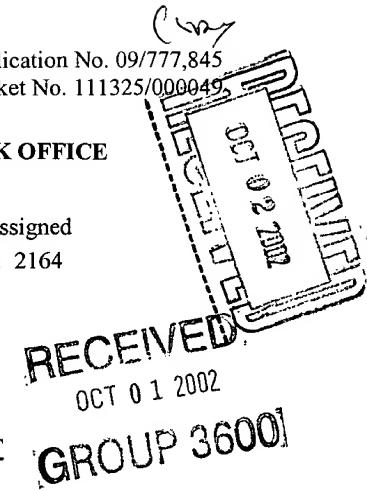
Respectfully submitted,

A handwritten signature in black ink.

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Form PTO-1449  
(Rev. 8-83)U.S. Department of Commerce  
Patent and Trademark Office

Atty Docket 111325-49

Serial No. 09/777,845



## INFORMATION DISCLOSURE STATEMENT

Applicants: Stefik et al.

Filing Date: February 07, 2001

Group Art Unit

RECEIVED  
OCT 1 2002  
PTO

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
	4,796,220	01/03/1989	Wolfe			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
	0 715 241	06/05/1996	JP			Full Eng
	04-369068	12/21/1992	JP			Eng Abst
	05-268415	10/15/1993	JP			Eng Abst
	06-175794	06/24/1994	JP			Eng Abst
	06-215010	08/05/1994	JP			Eng Abst
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	62-241061	10/21/1987	JP			Eng Abst
	64-068835	03/14/1989	JP			Eng Abst
	WO 94/01821	01/20/1994	PCT			Full Eng
	WO 96/24092	08/08/1996	PCT			Full Eng
	WO 97/48203	12/18/1997	PCT			Full Eng
	WO 98/11690	03/19/1998	PCT			Full Eng
	WO 98/42098	09/24/1998	PCT			Full Eng

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
	Henry H. Perritt, Jr., "Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment", April 2-3, 1993, Knowbots, Permissions Headers & Contract Law

Examiner	Date Considered
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	